

Attorney Docket No. 20807-0003
U.S. Application No. 09/828,444

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curt V. Avallone)
Application No.: 09/828,444) Group Art Unit: 3621
Filed: 04-06-2001) Examiner: F. Backer

For: METHOD AND SYSTEMS FOR PROVIDING PERSONALIZED
INFORMATION TO USERS IN A COMMERCIAL ESTABLISHMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

☒ **A. PTO/SB/08**

☐ **B. CERTIFICATION**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **C. \$180.00 FEE PAYMENT**

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

☐ **D. \$130.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT**

(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with
37 CFR 1.98:

☒ **I. A copy of each listed U.S. Patent [1.98(a)(2)(i)].**

☐ **II. A copy of each listed foreign patent and/or published foreign patent
application. For each listed foreign patent and/or published foreign patent
application [1.98(a)(2)]:**

☐ a) the English language version or an English translation if not
published in English, if available (see PTO-1449)[1.98(a)(3)];
and/or

☐ (b) U.S. counterpart patents or English language equivalents of the
foreign patents or published foreign patent applications (see PTO-
1449); and/or

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- ☐ (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- ☐ III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
 - ☐ (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
 - ☐ (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- ☐ IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- ☐ V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- ☒ 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- ☐ 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- ☐ 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- ☐ 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

☐ 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

☐ I, _____, hereby state the following:

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.

☐ 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. _____. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

☐ 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,


Brian T. Sattizahn
Reg. No. 46,401



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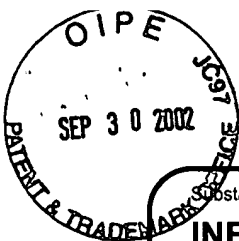
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/828,444
				Filing Date	04-06-2001
				First Named Inventor	Curt V. Avallone
				Group Art Unit	3621
				Examiner Name	F. Backer
Sheet	One	of	One	Attorney Docket Number	20807-0003

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	US- 2001/0014868 A1	08-16-2001	Herz et al.	
	AB	US- 2002/0007304 A1	01-17-2002	Kasajima et al.	
	AC	US- 2002/0049628 A1	04-25-2002	West et al.	
	AD	US- 6,385,592 B1	05-07-2002	Angles et al.	
	AE	US- 2002/0087405 A1	07-04-2002	Barnett et al.	
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Examiner Signature		Date Considered	
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/828,444	
	Filing Date	04-06-2001	
	First Named Inventor	Curt V. Avallone	
	Group Art Unit	3621	
	Examiner Name	F. Backer	
Total Number of Pages in This Submission		Attorney Docket Number	20807-0003

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Firm or Individual name	McNees Wallace & Nurick Brian T. Sattizahn
Signature	<i>B-T Sattizahn</i>
Date	9-26-2002

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Typed or printed name	Brian T. Sattizahn		
Signature	<i>B-T Sattizahn</i>	Date	9-26-2002

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